#### (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

#### (19) World Intellectual Property Organization

International Bureau

## (43) International Publication Date 3 June 2004 (03.06.2004)

#### **PCT**

## (10) International Publication Number WO 2004/046030 A1

(51) International Patent Classification7:

C01B 31/02

(21) International Application Number:

PCT/CA2003/001779

(22) International Filing Date:

17 November 2003 (17.11.2003)

(25) Filing Language:

N

English

(26) Publication Language:

English

(30) Priority Data:

60/426,407

15 November 2002 (15.11.2002) U.

- (71) Applicant (for all designated States except US): MGILL UNIVERSITY [CA/CA]; 845 Sherbrooke Street West, Montreal, Quebec H3A 2T5 (CA).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): HARBEC, David [CA/CA]; 5360 Victoria Ave., Apt. 2, Montreal, Quebec H3W 2P3 (CA). MEUNIER, Jean-Luc [CA/CA]; 10246 St-Hubert, Montreal, Quebec H2C 2H5 (CA).
- (74) Agent: OGILVY RENAULT; Suite 1600, 1981 McGill College Avenue, Montreal, Quebec H3A 2Y3 (CA).

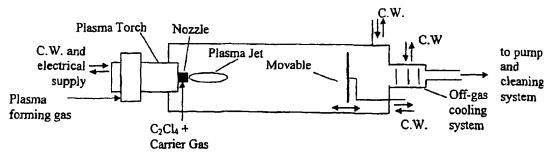
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (BW. GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

#### Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: METHOD FOR PRODUCING CARBON NANOTUBES USING A DC NON-TRANSFERRED THERMAL PLASMA TORCH



(57) Abstract: The present invention provides a process for the manufacture of carbon nanostructures, the carbon nanostructures being selected from carbon nanotubes and carbon nano-onions. The method comprises the steps of injecting a carbon-containing gas into a plasma flame generated from a plasma forming gas to provide atomic carbon, which in the presence of in situ generated nanometer sized metal catalyst particles that act as nucleation points for growth of carbon nanostructures, produce the carbon nanostructures, and collecting the carbon nanostructures.

# Method for Producing Carbon Nanotubes Using a DC Non-Transferred Thermal Plasma Torch

#### Field of the Invention

This invention relates to a method for producing carbon nanostructures such as carbon nanotubes and carbon nano-onions using a thermal plasma torch which involves in situ catalyst generation of nanometer sized metal catalyst particles. The method and apparatus provides a technique for the synthesis of carbon nanotubes (CNT) and carbon nano-onions with scale up possibilities to industrial (megawatt) levels.

### **Background of the Invention**

State of the art techniques that are used presently for commercial production of carbon nanotubes show limitations in scale up possibility for large yields of fullerenes and CNT production, while the demand for CNT in emerging applications, for example in the field of materials is rapidly moving to the tons/month requirements.

Techniques such as the graphite arc methods that presently supply the carbon nanotube market (see US Patents Nos. 5,227,038; 5,482,601; 6,451,175 B1; 6,455,021; 6,063,243; and 5,753,088) are showing decreasing yields to nil as the arc power is increased, and poor energy efficiency. Other techniques such as laser ablation or chemical vapor deposition (CVD) techniques relate to methods that are not available at industrial scale power (Megawatt level) for providing yields above the grams/hour level.

An essential component of CNT growth is the provision of a method that provides for the nanometer sized metal catalyst particles acting as nucleation points for the tube growth. CNTs are typically formed when such nanometer size particles are present in systems generating fullerenes (C<sub>60</sub>, C<sub>70</sub>, and larger carbon cage structures). The catalyst prevents the closure of the carbon cage and enhances the growth of the long tubular structures. The graphite arc method typically introduces metal catalyst material within the solid graphite source with both carbon and catalyst metal being evaporated simultaneously. This results in difficult control of the carbon to metal vapor ratio, and no possibility of real time adjustments. Other methods use a long chemical route for assuring the presence of the nano-particles on surfaces on which the tubes are growing.

#### Summary of the Invention

The present invention provides a process for the manufacture of carbon nanostructures, the carbon nanostructures being selected from carbon nanotubes and carbon nano-onions, the method comprising the steps of injecting a carbon-containing gas into a plasma flame generated from a plasma forming gas to provide atomic carbon, which in the presence of in situ generated nanometer sized metal catalyst particles that act as nucleation points for growth of carbon nanostructures, produce the carbon nanostructures, and collecting the carbon nanostructures.

In determining a suitable apparatus for carrying out the process of the invention, it is thought that the geometric configuration of the nozzle can be optimized by computational fluid dynamic (CFD) modeling of the temperature/flow patterns in the nozzle. Commercially, it is desirable to maximize nucleation beyond the nozzle. The present invention relates to the process described in US Patent No. 5,395,496 (Process for the synthesis of fullerenes; P.G. Tsantrizos, S. Grenier) but is directed towards CNT synthesis. The present invention can also be used for the synthesis of carbon nano-onions. The process of the invention makes use of US Patent No. 5,147,998 (High enthalpy plasma torch; P.G. Tsantrizos et al) for the plasma and catalyst nano-particles generation device.

A feature of the present process is in the generation of the nanometer sized particles of catalyst. The present process uses the intrinsic vaporization of a properly chosen electrode material within the plasma torch to generate metal vapor that nucleates into nano-particles in a zone of nanotube formation. Hence the amount of catalyst nanoparticles and of carbon precursor for CNT growth are controlled independently and without the requirement for an external feed system for the metal catalyst. The metal vapor content in the plasma is controlled by the electric arc current in the plasma torch, and the quantity of carbon in the system is controlled by the carbon source gas volumetric flow.

The method of this invention is based on DC plasma torch technology currently available and used for chemical synthesis and materials treatment at the multi-Megawatt power level. A carbon-containing gas is used as the source material for CNT generation. This gas is dissociated in the plasma environment to provide the atomic carbon for CNT growth. This is much more economical from the energy perspective than the solid carbon sublimation used in graphite arc and laser methods, and also adds to the scale up potential through the volumetric increase of gas treated at large power. An increase in process

power translates into an increased amount of the carbon feed gas that is treated and transformed into CNT per unit time.

Other alternate methods for producing the catalyst can be used in this process, for example the injection of metal powders in the outlet flame of the torch or melted droplets of metal from metal samples brought in contact with the flame. This last method has shown carbon fibers growing on the droplets collected on a wall facing the plasma torch. Alternatively, the catalyst nanoparticles can be transported into the liquid carbon precursor, such as tetrachloroethylene (TCE), before injection either in a high power DC plasma torch, or in the injection probe of an inductively coupled thermal plasma torch (TP-ICP) system.

The method of the invention is not limited to these plasma and catalyst generation methods. Other systems may, for example, use a separate metal evaporator for the metal nanoparticle production, such as the systems based on transferred arc technology, which can replace the electrode erosion based nanoparticle generation. Transferred arc technology is similar to a DC torch technology, with the exception that the electric arc is "transferred" to an electrode (the anode) outside of the torch itself (see J. Feinman, editor, Plasma Technology in Metallurgical Processing, Iron & Steel Society (1987) at Chapter 7; J.R. Roth, Industrial Plasma Engineering, Vol. 1, Principles, Institute of Physics Publishing (1995) at page 382). This outside anode is typically made of the material to be treated, for example a metal, and is melted and vaporized due to the heating power of the arc. The metal vapors are transported to a reaction chamber for producing nanoparticles.

With an inductively coupled thermal plasma torch (TP-ICP) system, a radio frequency power in the megahertz range is fed to a coil surrounding a ceramic confinement tube containing a gas. Magnetic induction at a high frequency is used in a TP-ICP to couple the power to the plasma, and no electrodes are in contact with the plasma itself (see J. Feinman, editor, *Plasma Technology in Metallurgical Processing*, Iron & Steel Society (1987) at Chapter 7; J.R. Roth, *Industrial Plasma Engineering*, *Vol. 1, Principles*, Institute of Physics Publishing (1995) at page 382; M.I. Boulos, P. Fauchais, E. Pfender, Thermal Plasmas Fundamental and Applications, Vol. 1, Plenum Press (1994), Section 1.2.2.). Inductively coupled thermal plasma torch (TP-ICP) devices can replace the DC torch technology, as both systems provide the thermal plasma flame characteristic for CNT synthesis. The carbon precursor (e.g. TCE) in TP-ICP systems can be injected directly in the TP-ICP torch in the liquid form through a probe without the need for prior

vaporization of the carbon precursor (e.g. TCE). In such a case the metal catalyst nanoparticles can be added and transported by the liquid carbon precursor and injected simultaneously in the torch plasma.

The disclosures of all patents mentioned in this description are incorporated herein by reference.

### **Detailed Description of the Invention**

### **Brief Description of the Drawings**

The accompanying drawings are used for purposes of illustrating the invention only and should not be used to construe the claims in a limiting fashion.

Figure 1 is a schematic illustration of a high enthalpy plasma torch to which is attached a water-cooled nozzle for injection of a carbon-containing gas feed;

Figure 2 is a schematic illustration of a plasma torch wherein a nozzle is shown that includes separate injection lines for carbon-containing gas and a carrier gas and for catalyst particles and a carrier gas;

Figure 3A is a schematic illustration of a portion of a plasma torch and a nozzle which provides for metal catalyst injection downstream of the plasma torch nozzle assembly;

Figure 3B is a schematic illustration of a high enthalpy plasma torch to which is attached a water-cooled nozzle for injection of a carbon-containing gas feed and wherein a hollow graphite cylinder is provided within the reactor;

Figure 4 is a schematic illustration of a plasma torch attached to a synthesis reactor with water-cooled walls and an off-gas cooling system;

Figure 5 is a schematic illustration of the nozzle walls shown in Figures 1 to 4 with carbon nanotubes depicted thereon;

Figures 6 to 13 are electron microscopy images taken of CNT product obtained by operation of the method of the invention in Helium at 200 Torr pressure;

Figure 14 is an image taken of product obtained by operation of the method of the invention in Helium at 500 Torr pressure; and

Figure 15 is an electron microscope image showing well dispersed small catalyst particles in the soot;

Figure 16 gives the element analysis spectra obtained on the black particles visible in Figure 13; and

Figure 17 is an electron microscope image taken of CNT product obtained by operation of the method of the invention in Argon at 200 Torr pressure.

### Apparatus for Use in the Process of the Invention

The following exemplifies the type of apparatus which may be employed to conduct the method of the invention.

A high enthalpy plasma torch an example of which is found in US Patent No. 5,147,998 can be used to generate the plasma. At the torch outlet is attached a watercooled nozzle (see Figure 1) for the injection of the carbon-containing gas feed. Experiments were carried out using tetrachloroethylene (TCE, C<sub>2</sub>Cl<sub>4</sub>) as the carbon source. The invention however is not limited to this gas as other mixtures of hydrocarbon have been shown to yield the fullerene precursor molecules. For example, see US Patents Nos. 5,395,496; 5,985,232; 6,162,411; 6,083,469; 6,099,696; 6,350,488 B1; 6,261,532 B1; 6,303,094 B1; 6,221,330 B1; 6,331,209 B1; and 6,333,016 B1 for examples of other gases and mixtures thereof. Thus various carbon halides can be used, as can various hydrocarbons. Typically, the carbon-containing gas may be characterized generally as a C1-C6 compound having as hetero atoms H, O, N, S or Cl, optionally mixed with hydrogen and mixtures thereof. The carbon-containing gas was carried to the nozzle and injected using a transporting gas such as helium or argon. The transporting gas is typically referred to as the carrier gas. Experiments described herein for CNT growth were made both with helium and argon gas. CNT production with argon (see Figure 17) has an important advantage of lower cost of operation. Typically, but not necessarily, the transporting gas is the same type as that used as the main plasma forming gas inside the plasma torch. The method described above and illustrated schematically in Figure 1 is based on the method described in US Patent No. 5,395,496 for fullerene production. The electrode material in contact with the electric arc inside the plasma torch constitutes, through the arc erosion process, the source of material for the production of nano-particles of catalyst. The torch design used in the tests is based on US Patent No. 5,147,998 with tungsten as the electrode surface material. Alternatively, fine metal particles can be injected along with the carbon in the carrier gas or by using a separate injection line in the nozzle as shown in Figure 2. Alternatively, metal catalyst injection can also be made downstream of the plasma torch-

٠,

nozzle assembly using powders or metal samples melted and vaporized by the strong heat flux of the plasma flame as shown in Figure 3A. Alternatively, metal catalyst nanoparticles can be added in the liquid carbon precursor and injected either downstream of the plasma torch. Alternatively, metal catalyst nanoparticles added in the liquid carbon precursor can be injected directly in the plasma when using an injection probe inserted in an inductively coupled thermal plasma torch (TP-ICP) instead of a DC plasma torch. The plasma torch may be attached to a synthesis reactor with water-cooled walls and an off gas cooling system as illustrated in Figures 1 and 4. The pressure in the reactor can be controlled between 200 Torr and 800 Torr. Peripherals may be attached to the reactor and may be selected from units for off gas cleaning, pumping, cooling, control and electrical power supply for the plasma torch. Inside the reactor is a provision for product recovery on a water-cooled plate facing the plasma torch at some adjustable position. Provisions to control the temperature profile and residence time in some given temperature zone can be added in the main chamber through the use of an inner enclosure surrounding the plasma jet. A hollow graphite cylinder 30 cm long is used as the inner enclosure in the present embodiment of the invention (see Figure 3B).

In the experiments described herein, tungsten electrodes were used to generate the nano-particles of catalyst. The very high boiling point of tungsten (5660°C) results in the metal particles being generated directly within the nozzle, and as the nearby area of the nozzle wall has a temperature typically in the range of 1000-1500°C, the resulting fast quench of the metal vapor induces nanometer size particle nucleation. In such a scenario, an significant amount of long CNT structures are produced directly on the nozzle walls as seen in Figure 5, as this region corresponds to a good catalyst particle nucleation zone from the strong thermal gradients occurring close to the nozzle wall. Also, the nucleation of catalyst particles from the thermal gradients generated by the cold TCE injection (compared to the hot plasma) also occurs in the main stream. These particles exit the plasma torch and enter the main reactor chamber for CNT growth in the gas phase. A change in electrode material to a metal with a lower boiling point, and/or a change in surface temperature of the nozzle, and/or a change of the nozzle geometry inducing a given flow pattern and quenching rate, and/or a change in the position of the carboncontaining gas acting as a quench, and/or the insertion of a quenching surface within the plasma torch tailflame, and/or alternate source of catalyst as illustrated in Figures 2 and 3A, all result in modifying and controlling the position of CNT formation. Thus the CNT

formed may be single-walled, multi-walled (depending mainly on the size of metal particles), and the lengths of the tubes may be affected by any of these changes.

Figures 6 to 13, and 16, show electron microscopy images of the CNT formed within the nozzle. Figures 6, 7, 8 are lower magnification scanning electron microscope (SEM) images showing that very long fibers are produced with lengths up to 50 μm, and the presence of fibers throughout and strongly imbedded within the carbon soot particles. Figures 9, 10, 11 are higher magnification SEM images of these fibers, revealing a typical tube diameter from 10 to 30 nm, and a good uniformity of the tubes over their lengths. Figures 12 and 13 are transmission electron microscope (TEM) images showing that nanofibers are effectively nanotubes with inside diameters around 2 nm. Figure 13 also shows the catalyst particles (black dots) of tungsten located at the tip of the tube and responsible for the tube growth. Figure 16 presents the results of an energy dispersive X-ray analysis (EDAX) of the black particles visible in Figure 13, showing the tungsten peaks. Figure 17 shows similar CNTs produced using Argon instead of Helium as the plasma and carrier gas.

One important aspect of the present application is the ability of the method to generate the nanometer sized metal particles. Figure 14 shows other images of tubes formed outside the torch-nozzle assembly and collected on a water-cooled plate. In Figure 14, the metal sample vaporization technique of Figure 3 was used with iron wires inserted into graphite holders at the outlet of the torch.

Demonstration of CNT generation using the present method was made at the 55kW power level.

Figure 15 shows an additional SEM of well dispersed small catalyst particles in the soot. Good dispersion and homogeneity of the nano-particles is a difficult task considering strong agglomeration effects encountered at this scale length. The present method solves this problem through in situ generation of the catalyst, this occurring within the plasma at the site of tube growth.

### Detailed Description of the Method of the Invention

The present invention can involve the use of a plasma torch as described in US patent 5,147,998 on which a water-cooled nozzle assembly is added for carbon-containing gas injection. Typically, the material for the nozzle is tungsten when using tetrachloroethylene (TCE) as a carbon source gas. The electrodes used in the

demonstration experiment were coated with tungsten, although electrode surfaces containing either Fe, Ni, Co, Cr, Mo, Pd, Pt, Ru, Rh, Hf and Gd should also show significant catalytic effects.

Using TCE as a carbon source gas, an evaporator is used to transform the liquid TCE (at room temperature) to a gas carried in heated lines at 200°C with a helium or argon flow. Flowrates used are typically 20 standard litres per minute (slpm) of helium and 0.05 to 0.54 mol/min TCE. Higher power DC plasma torches or inductively coupled thermal plasma torches (TP-ICP) can provide the flexibility to inject the liquid TCE directly into the torch. In such cases, nanoparticles of catalyst can also be incorporated into the liquid feed and simultaneously injected into the plasma.

The plasma torch, nozzle and carbon/carrier gas feed lines are assembled to a reactor chamber made of stainless-steel with water cooling using a double wall system. Provision is made for access inside the chamber for product recovery on the walls and/or on a collecting plate/receptacle. For continuous operation, further provision should be made for product removal during plasma torch operation. The reactor chamber is to be operated at pressures between 200 and 800 Torr He. Experiments the results of which are shown in Figures 6 to 13 were made at 200 Torr He, while the experiments for which the results are shown in Figure 14 were made at 500 Torr He, and those for Figure 17 were made at 200 Torr Argon. Provision is made for pumping of the off gases using a water ring vacuum pump in the scheme using TCE as the carbon source gas. Provision is also made at the reactor outlet for off gas cooling before its transport to the vacuum pump. Using the scheme of TCE carbon source, a chlorine separation/recovery system is used at the outlet of the vacuum pump.

Helium or argon gas is supplied to the main plasma torch gas inlet at a volumetric rate of typically 200 to 225 slpm. This rate is very much dependent on the plasma torch employed. In the experiments described herein, a plasma torch sold by PyroGenesis Inc. model RPT-2, 100kW high enthalpy plasma torch was used. The use of other torches would dictate the rate. Plasma torch operation also requires water cooling lines and electrical power line connections. Typically, TP-ICP plasma torch systems require much lower flowrates.

A summary of the experimental conditions used for the production of the carbon nanotubes described in the present application is provided in the following Table I.

TABLE I Experimental conditions for nanotube production in the present study.

Conditions	Plasma-Forming Gas			
Conditions	Helium	Argon		
Torch Power (kW)	30 to 65	30		
Main plasma gas flow rate (slpm)	225	100		
C <sub>2</sub> Cl <sub>4</sub> feed rate (mol/min)	0.15	0.15		
Carrier Gas flow rate (slpm)	20	20		
Reactor Pressure (torr)	200, 500	200		
Reactor Length (cm)	100	100		
Run Duration (min)	5	5		

At startup, the TCE injection system is brought up to its optimal temperature. Water cooling systems are then actuated as well as off gas cleaning systems. These comprise conventional equipment known in the art. The reactor is then evacuated to the desired pressure and a torch preheat is made in the first minute using argon or helium plasma gas and the carrier gas. This provides the high nozzle temperature for TCE injection and prevents a condensation of the TCE in the inlet lines.

The type and flow rate of plasma gas can then be adjusted to the desired values. TCE flow is admitted to the evaporator and injected into the torch nozzle at a desired flow rate. Adjusting the electric current supplied to the plasma torch sets the quantity of metal vapor in the main plasma stream. Tests were made with arc current at 350 Å.

CNTs are produced and collected in the nozzle wall, and/or on the walls of the chamber or on collecting surfaces that may be located along the plasma jet at the outlet of the nozzle.

Generally, the current, voltage and flow rates are all interdependent parameters which depend on the plasma torch, use of metal electrode or metal particles to generate the catalyst and the plasma gas.

Preliminary demonstration experiments were made with a tungsten nozzle geometry that allows for an expansion of the plasma jet in order to provide a rapid cooling of the metal vapour at a position corresponding to TCE injection. Computational fluid dynamic (CFD) modeling of the temperature/flow patterns in the nozzle provided the basic knowledge for nozzle geometries enabling nucleation of the nano-particles of metal. Experiments with the expansion nozzle resulted in rapid production of tungsten

nanoparticles inside the nozzle itself, solid tungsten nucleation occurring at the very high temperatures attained in this zone the system. Long CNT (mainly multi-wall nanotubes -MWNT) of over 50 micrometers in length and typically 30 nanometer in external diameter were produced from the tungsten nano-particles directly inside the nozzle expansion zone. These nanotubes were grown both in argon and helium, and were found in high concentration inside a soot adhering strongly to the nozzle walls. Experiments with increasing reactor pressure were aimed at pushing the nanotube formation outside the nozzle area into the reactor. Similarly, experiments with iron catalyst wires held and vaporized by the plasma jet outside of the reactor also allowed for CNT formation on the iron droplets projected onto the water cooled surface facing the plasma torch. This showed that providing catalyst nano-particles within the plasma jet outside of the plasma torch in a zone where atomic carbon is present enables the possibility of growing the CNT in the gas phase. Reactor optimization may be achieved through the selection of a metal electrode catalyst (for example Fe or Ni/Co) that will nucleate nano-particles downstream of the nozzle (i.e. outside the nozzle), and further inject these particles in a controlled temperature and flow velocity zone optimizing the nanotube formation and elimination of the by-products such the chlorinated compounds (mainly C<sub>2</sub>Cl<sub>4</sub>). Various nozzle geometries can be used to attain the necessary cooling rates of the metal vapours. Also, an inner wall made of a hollow cylinder of graphite is added inside the main reactor to better control the temperature and flow pattern in order to attain uniform temperature (typically around 1000 °C) and long residence times. A fast cooling of the plasma jet at the nozzle exit contributes to achieving nucleation of the metal vapour into nanometer-sized particles having a narrow size distribution.

The invention may be varied in any number of ways as would be apparent to a person skilled in the art and all obvious equivalents and the like are meant to fall within the scope of this description and claims. The description is meant to serve as a guide to interpret the claims and not to limit them unnecessarily.

#### Claims:

1. A process for the manufacture of carbon nanostructures, the carbon nanostructures being selected from carbon nanotubes and carbon nano-onions, the method comprising the steps of injecting a carbon-containing gas into a plasma flame generated from a plasma forming gas to provide atomic carbon, which in the presence of in situ generated nanometer sized metal catalyst particles that act as nucleation points for growth of carbon nanostructures, produce the carbon nanostructures, and collecting the carbon nanostructures.

- 2. A process as claimed in claim 1 wherein the carbon-containing gas is injected together with a carrier gas.
- 3. A process as claimed in claim 2 wherein the carrier gas and the plasma forming gas are each selected from helium, argon, nitrogen and air, and they are the same or different.
- 4. A process as claimed in claim 1 wherein a high enthalpy plasma torch is used to generate the plasma and a nozzle is attached to a torch outlet for injection of the carbon-containing gas.
- 5. A process as claimed in claim 1 wherein an inductively coupled thermal plasma torch is used to generate the plasma and an injection probe is used within the plasma region for injection of the carbon-containing gas.
- 6. A process as claimed in claim 4 wherein the carbon-containing gas is tetrachloroethylene.
- 7. A process as claimed in claim 4 wherein an electrode generates the metal vapor in the plasma torch which metal vapor nucleates into nanoparticles in the zone of nanostructure formation.
- 8. A process as claimed in claim 7 wherein the electrode is selected from iron, tungsten, nickel, cobalt, chromium, molybdenum, palladium, platinum, ruthenium, rhodium, hafnium, gadolinium electrodes and combinations thereof or copper electrodes coated with one or more of such metals.
- 9. A process as claimed in claim 7 wherein a tungsten electrode is used.
- 10. A process as claimed in claim 9 wherein a tungsten nozzle is used.
- 11. A process as claimed in claim 7 wherein the amount of catalyst nanoparticles and of carbon-containing gas are controlled independently.

12. A process as claimed in claim 11 wherein the metal vapor content in the plasma is controlled by the electric arc current in the plasma torch and the quantity of carbon in the system is controlled by the carbon source gas volumetric flow.

- 13. A process as claimed in claim 1 wherein the catalyst is derived from at least one metal powder injected into the outlet flame of the torch.
- 14. A process as claimed in claim 1 wherein the catalyst is generated from droplets of metal generated from a metal sample brought into contact with the flame.
- 15. A process as claimed in claim 1 wherein the catalyst is externally generated from supplying metal vapor or nanoparticles from a metal evaporator.
- 16. A process as claimed in claim 1 wherein the catalyst is externally generated from supplying metal vapor or nanoparticles from a nanoparticle generator.
- 17. A process as claimed in claim 1 wherein the catalyst is generated from nanoparticles of catalyst added to a liquid carbon precursor.
- 18. A process as claimed in claim 17 wherein the liquid carbon precursor is tetrachloroethylene.
- 19. A process as claimed in claim 4 wherein the nozzle is selected from water-cooled nozzles, ceramic coated nozzles and ceramic nozzles.

Internation ttion No PCT/CA 03/01779

Δ	CLA	SSIFIC	ATION	OF S	UBJECT	MATTE	R
ΪF	C	7	COL	331/	/02		

According to International Palent Classification (IPC) or to both national classification and IPC

#### B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) IPC 7 C01B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

	ata base consulted during the international search (name of data base ta, PAJ, INSPEC, COMPENDEX, EPO-Inte		
C. DOCUME	ENTS CONSIDERED TO BE RELEVANT		Relevant to claim No.
Category °	Citation of document, with indication, where appropriate, of the rel	evant passages	Assault Comme
X	SMILJANIC O ET AL: "Gas-phase sy of SWNT by an atmospheric pressur jet" CHEMICAL PHYSICS LETTERS, NORTH-NAMSTERDAM, NL, vol. 356, 22 April 2002 (2002-04-pages 189-193, XP002243399 ISSN: 0009-2614 the whole document	re plasma HOLLAND,	1-3
X Fur	rther documents are listed in the continuation of box C.	Patent family members are liste	d in annex.
*A* docum cons *E* earlier fling *L* docum which chati *O* docum other	nent defining the general state of the art which is not defend to be of particular relevance or document but published on or after the international date nent which may throw doubts on priority claim(s) or this cited to establish the publication date of another ion or other special reason (as specified) ment referring to an oral disclosure, use, exhibition or or means ment published prior to the international filing date but than the priority date claimed	"T tater document published after the in or priority date and not in conflict will cited to understand the principle or t invention  "X" document of particular relevance; the cannot be considered novel or came involve an inventive step when the cannot be considered to involve an document is combined with one or ments, such combined with one or in the art.  "&" document member of the same pate.	in the application but theory underlying the claimed invention be considered to be considered to becomen is taken alone claimed invention inventive step when the nore other such docupous to a person skilled
<u> </u>	e actual completion of the International search	Date of mailing of the international s	earch report
	24 March 2004	02/04/2004	· .
Name en	d mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2  NL – 2280 HV Rijswijk  Tel. (+31–70) 340–2040, Tx. 31 651 epo nl,  Fax: (+31–70) 340–3016	Authorized officer Rigondaud, B	

Internation stion No
PCT/CA 03/01779

Citation of document, with indication, where appropriate, of the relevant passages  DATABASE CA 'Online!	Relevant to claim No.
	Helevant to claim No.
DATARASE CA 'Online!	
CHEMICAL ABSTRACTS SERVICE, COLUMBUS, OHIO, US; YOSHIKAWA, HIDEKI: "Manufacture of carbon monolayer nanotubes" retrieved from STN Database accession no. 127:123749 CA XP002274788 abstract & JP 09 188509 A (NEC CORP., JAPAN) 22 July 1997 (1997-07-22)	1-3,5
WO 03 062146 A (UNIV CAMBRIDGE TECH; KINLOCH IAN (GB); CASH STEPHEN (GB); MCKINNON) 31 July 2003 (2003-07-31) claims 1-6,9-11,14,16 figure 1 page 3, line 17 - line 27 page 5, line 7 - line 28 page 6, line 7 -page 7, line 11 page 9, line 18 -page 10, line 8	1-3,5, 13,17
US 2003/211030 A1 (OLIVIER SMILJANIC ET AL) 13 November 2003 (2003-11-13) figures 1-4 claims 1-36 page 2, paragraphs 26-28 page 2, paragraph 32 -page 3, paragraph 39 page 5, paragraph 67	1-4,13
page 3, paragraph 67	15,17
WO 03 008331 A (KH CHEMICALS CO LTD ;KIM YOUNG NAM (KR)) 30 January 2003 (2003-01-30) claims 1-3,9,11	1-3,13
DATABASE WPI Section Ch, Week 200231 Derwent Publications Ltd., London, GB; Class E36, AN 2002-258273 XP002274789 & CN 1 328 958 A (UNIV TAIYUAN SCI & ENG), 2 January 2002 (2002-01-02) abstract	
WANG XINJUN ET AL: "A novel route to multiwalled carbon nanotubes and carbon nanorods at low temperature" J PHYS CHEM B; JOURNAL OF PHYSICAL CHEMISTRY B FEB 7 2002, vol. 106, no. 5, 7 February 2002 (2002-02-07), pages 933-937, XP002274787 the whole document	6
	retrieved from STN Database accession no. 127:123749 CA XP002274788 abstract & JP 09 188509 A (NEC CORP., JAPAN) 22 July 1997 (1997-07-22)  WO 03 062146 A (UNIV CAMBRIDGE TECH ;KINLOCH IAN (GB); CASH STEPHEN (GB); MCKINNON) 31 July 2003 (2003-07-31) claims 1-6,9-11,14,16 figure 1 page 3, line 17 - line 27 page 5, line 7 - page 7, line 11 page 9, line 18 -page 10, line 8  US 2003/211030 A1 (OLIVIER SMILJANIC ET AL) 13 November 2003 (2003-11-13) figures 1-4 claims 1-36 page 2, paragraphs 26-28 page 2, paragraph 32 -page 3, paragraph 39 page 5, paragraph 67  WO 03 008331 A (KH CHEMICALS CO LTD ;KIM YOUNG NAM (KR)) 30 January 2003 (2003-01-30) claims 1-3,9,11  DATABASE WPI Section Ch, Week 200231 Derwent Publications Ltd., London, GB; Class E36, AN 2002-258273 XP002274789 & CN 1 328 958 A (UNIV TAIYUAN SCI & ENG), 2 January 2002 (2002-01-02) abstract  WANG XINJUN ET AL: "A novel route to multiwalled carbon nanotubes and carbon nanorods at low temperature" J PHYS CHEM B; JOURNAL OF PHYSICAL CHEMISTRY B FEB 7 2002, vol. 106, no. 5, 7 February 2002 (2002-02-07), pages 933-937, XP002274787

Internation ication No
PCT/CA 03/01779

		PCT/CA 03/0	01779
(Continu	ation) DOCUMENTS CONSIDERED TO BE RELEVANT		
gory °	Citation of document, with indication, where appropriate, of the relevant passages	A	elevant to ctairn No.
<u></u>	EP 0 565 275 A (PEGASUS REFRACTORY MATERIALS I) 13 October 1993 (1993-10-13) & US 5 395 496 A 7 March 1995 (1995-03-07) cited in the application		
,	US 5 147 998 A (TSANTRIZOS PETER ET AL) 15 September 1992 (1992-09-15) cited in the application		
	·		

information on patent family members

Internation ation No
PCT/CA 03/01779

	atent document I in search report		Publication date		Patent family member(s)		Publication date
JP	9188509	Α	22-07-1997	JP ·	2737736	B2	08-04-1998
WO	03062146	A	31-07-2003	WO	03062146	A1	31-07-2003
US	2003211030	A1	13-11-2003	CA WO	2385802 03095362		09-11-2003 20-11-2003
WO	03008331	A	30-01-2003	KR EP WO US	2003008763 1334064 03008331 2003161782	A1 A1	29-01-2003 13-08-2003 30-01-2003 28-08-2003
CN	1328958	A	02-01-2002	NONE			
EP	0565275	A	13-10-1993	CA EP JP US US	0565275 6092612	A	08-10-1993 13-10-1993 05-04-1994 07-03-1995 06-01-1998
US	5147998	Α	15-09-1992	NONE	······································		· • • • • • • • • • • • • • • • • • • •

Figure 1

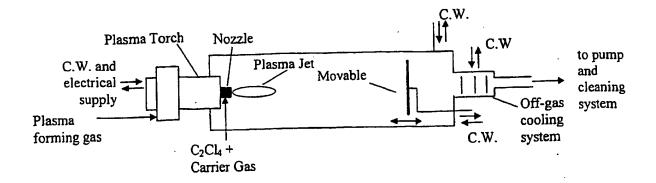


Figure 2

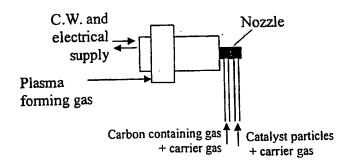
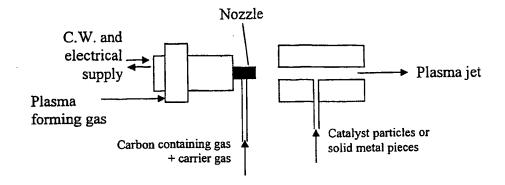


Figure 3A



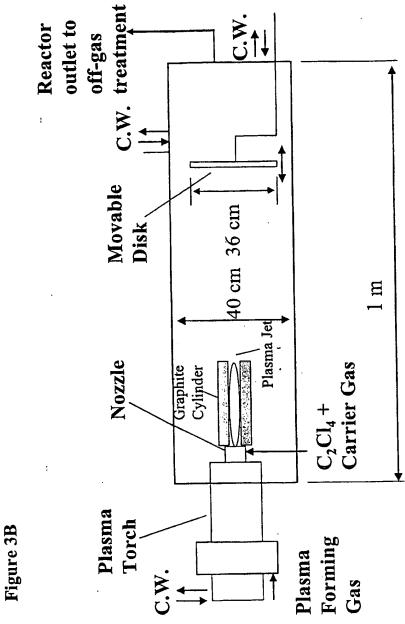


Figure 4

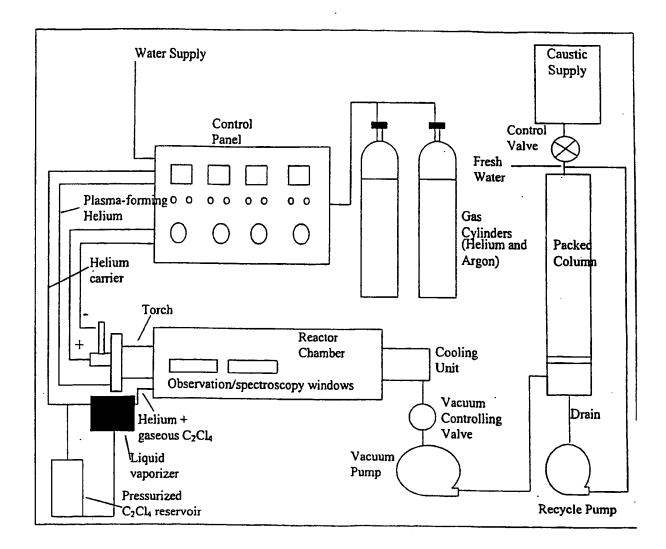


Figure 5

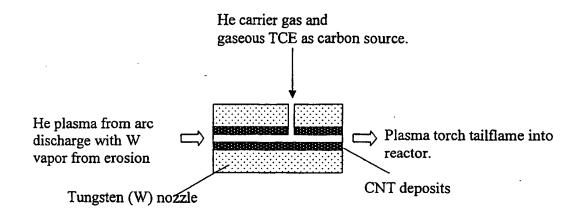


Figure 6 (Lower resolution FE-SEM image)

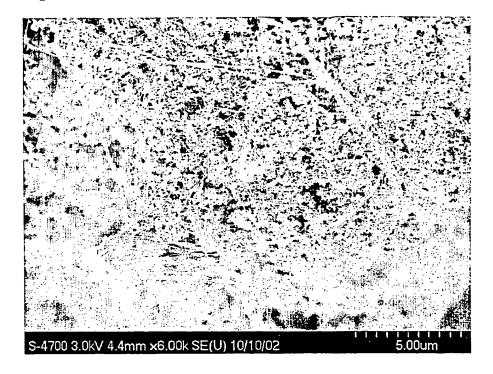


Figure 7 (Lower resolution FE-SEM image)

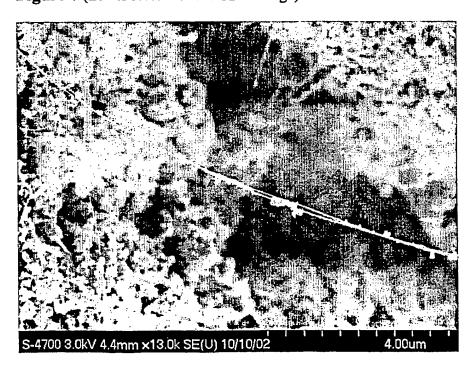


Figure 8 (Lower resolution FE-SEM image)

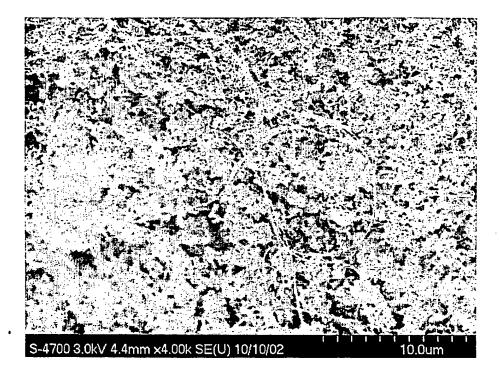


Figure 9 (Higher resolution FE-SEM image)



Figure 10 (Higher resolution Fe-SEM image)

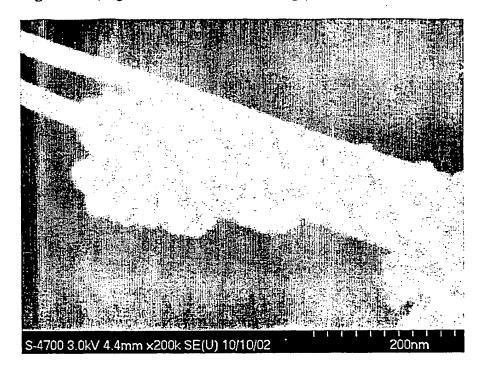


Figure 11 (Higher resolution Fe-SEM image)

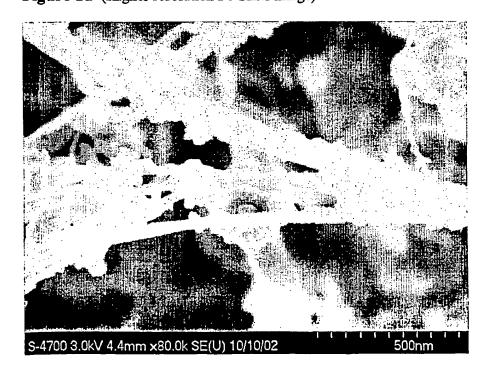


Figure 12 TEM image

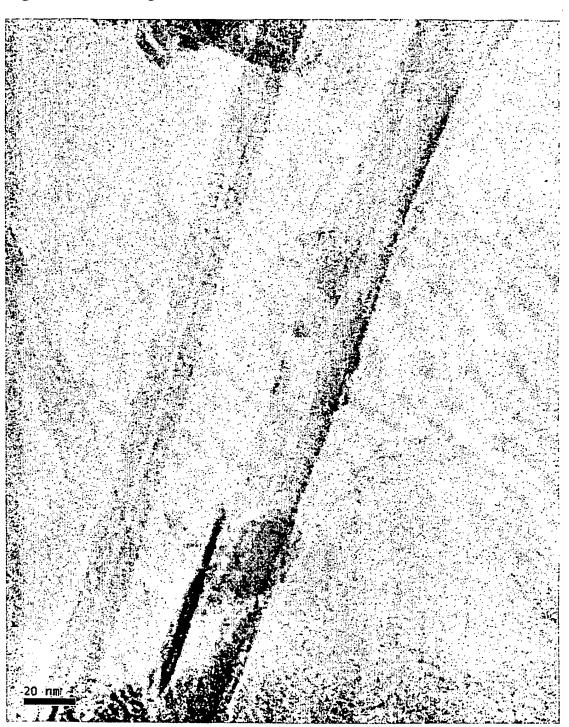


Figure 13 TEM image

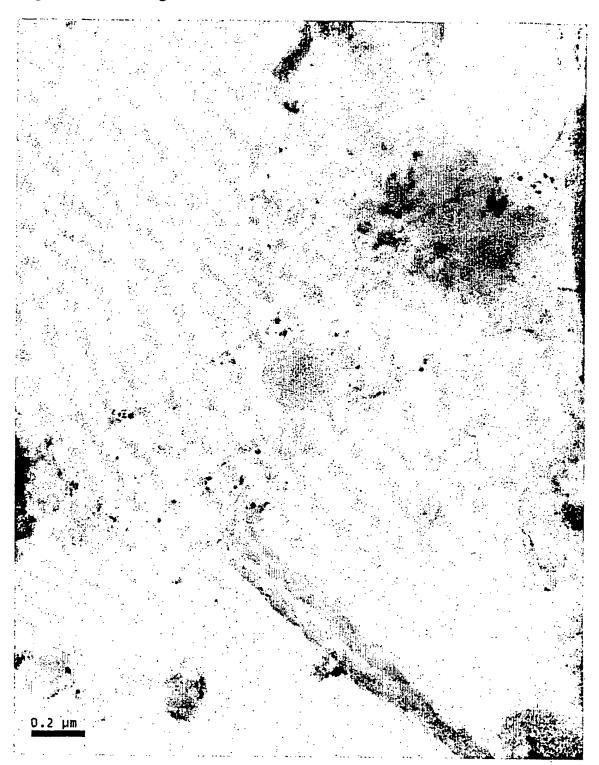


Figure 14A,B CNT observed in reactor on metal particle splashes on collecting surface.

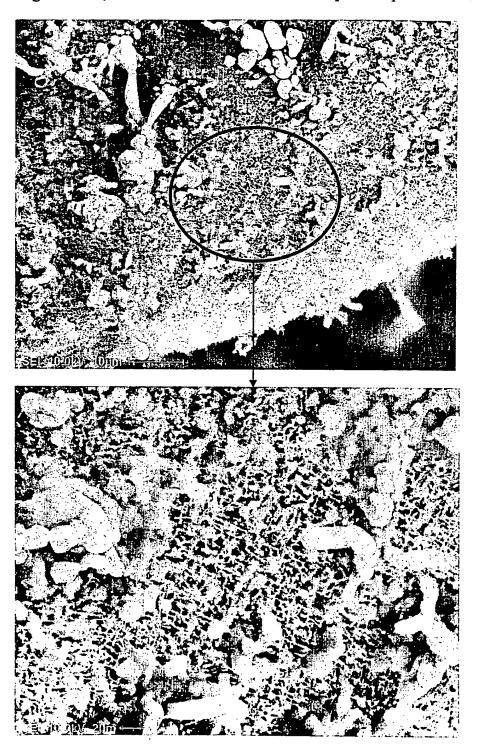


Figure 14C

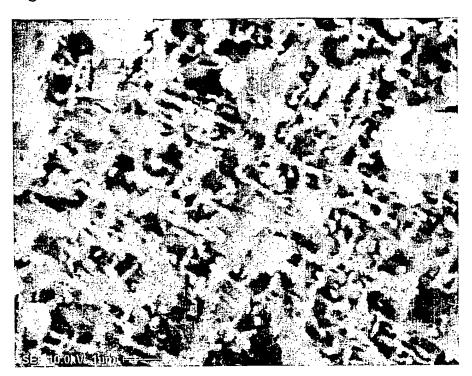


Figure 15

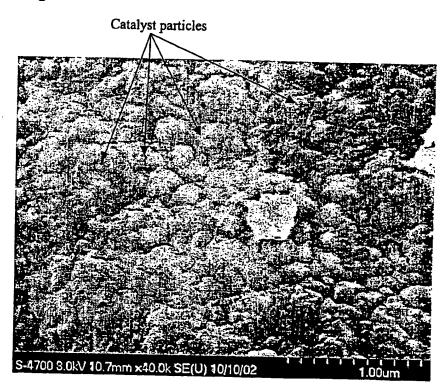


Figure 16

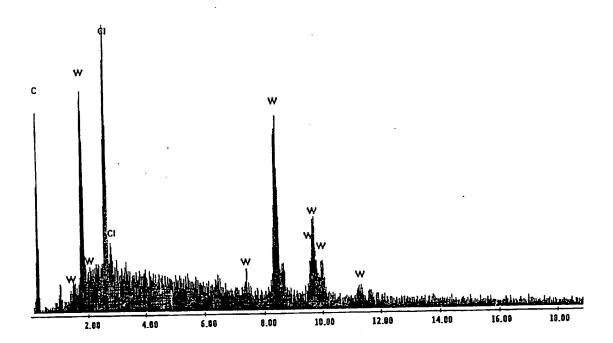


Figure 17



# This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

<b>BLACK BORDERS</b>
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
☐ FADED TEXT OR DRAWING
BLURRED OR ILLEGIBLE TEXT OR DRAWING
SKEWED/SLANTED IMAGES
COLOR OR BLACK AND WHITE PHOTOGRAPHS
GRAY SCALE DOCUMENTS
☐ LINES OR MARKS ON ORIGINAL DOCUMENT
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
□ other:

### IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.